Lead and manage practice that involves key people in care service provision to achieve positive outcomes for individuals



# **Overview**

This standard identifies the requirements associated with leading and managing practice that supports the involvement of key people in the service provision and the achievement of positive outcomes for individuals.

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# Performance criteria

# Lead and manage the implementation of systems, procedures and practice to involve key people in the service provision

#### You must be able to:

- P1 implement systems, procedures and practice to involve **key people** in the service provision in the context of legislative, regulatory and organisational requirements
- P2 manage a service provision that enables key people to make positive contributions to the lives of **individuals**
- P3 develop a culture within the service provision that values the contribution that key people can make to positive outcomes for individuals
- P4 lead practice that recognises and respects individuals' **background** and preferences
- P5 ensure that you and **others** seek solutions to enable key people to achieve positive outcomes
- P6 ensure workers have access to **development opportunities** that support them to develop the knowledge, understanding and skills needed to involve key people in assisting individuals to achieve positive outcomes
- P7 ensure that individuals are supported to make their views and preferences known about the involvement of key people in their lives
- P8 ensure that you and others engage with key people to support them to understand the views and preferences of individuals about their involvement in their lives
- P9 ensure that key people are supported by others to engage in the identification of positive outcomes for individuals
- P10 lead work with individuals, key people and others to review the effectiveness of systems, procedures and practice for the involvement of key people in the service provision
- P11 implement changes needed to improve the involvement of key people in the service provision

# Lead and manage a service provision that supports effective working relationships with key people

#### You must be able to:

- P12 implement systems, procedures and practice to support working relationships with key people in the context of legislative, regulatory and organisational requirements
- P13 ensure that you and others contribute to the assessment of the

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- capacity of key people to support individuals to achieve positive outcomes
- P14 ensure that workers work in partnership with key people to achieve positive outcomes for individuals
- P15 monitor relationships between key people and workers
- P16 gather feedback from individuals, key people and others on the effectiveness of relationships within the service provision in supporting individuals to achieve positive outcomes
- P17 **critically analyse** information to establish how effective relationships within the service provision have been, in supporting individuals to achieve positive outcomes
- P18 interpret analysis of relationships within the service provision in order to report on areas of good practice and areas that need to be improved
- P19 implement changes needed to address areas to be improved

# Support workers to manage situations where there are ethical dilemmas or conflicts related to working with key people

#### You must be able to:

- P20 implement systems, procedures and practice for addressing ethical dilemmas or conflicts related to working with key people in the context of legislative, regulatory and organisational requirements
- P21 ensure that you and others reflect upon own practice and attitudes towards working with key people
- P22 support workers to identify potential ethical dilemmas and conflicts when working with key people
- P23 support workers to employ a range of techniques to address situations with key people that are likely to cause ethical dilemmas or conflicts
- P24 support workers to address ethical dilemmas or conflicts that arise in their work with key people
- P25 ensure that workers are able to access additional support or specialist advice where there are difficulties addressing ethical dilemmas or conflicts
- P26 manage situations where ethical dilemmas or conflicts are likely to have a negative impact on the lives of individuals
- P27 review situations where ethical dilemmas or conflict have arisen to identify implications for systems, procedures or practice
- P28 implement changes to systems, procedures or practice where there are identified areas for improvement

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# Knowledge and understanding

understanding		
	Rights	
You need to know and understand:	K1	legal and work setting requirements on equality, diversity, discrimination and rights
	K2	your role in developing and maintaining systems, procedures and practices which promote individuals' rights, choices, wellbeing and active participation
	K3	your duty to report any acts or omissions that could infringe the rights of individuals
	K4	how to <b>critically evaluate</b> and take informed action against discrimination
	K5	the rights that individuals have to make complaints and be supported to do so
	K6	how to ensure that individuals are informed about the service they can expect to receive
	K7	your role in developing and maintaining systems, procedures and practices which ensure that individuals have access to information about themselves in a format they can understand
	K8	conflicts and dilemmas that may arise in relation to rights and how to address them
	Your pra	ctice

You need to know and
understand:

- K9 legislation, statutory codes, standards, frameworks and guidance relevant to your work, your work setting and the content of this standard
- K10 your own background, experiences and beliefs that may have an impact on your practice
- K11 your own roles, responsibilities and accountabilities with their limits and boundaries
- K12 the roles, responsibilities and accountabilities of others with whom you work
- K13 how to access and work to procedures and agreed ways of working
- K14 the meaning of person-centred/child centred working and the importance of knowing and respecting each person as an individual
- K15 the prime importance of the interests and well-being of the individual
- K16 the individual's cultural and language context
- K17 how to build trust and rapport in a relationship
- K18 how your **power and influence** as a leader and manager can

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	impact on relationships
K19	the role of independent representation and advocacy for individuals
K20	how to work in ways that promote active participation and maintain
	individuals' dignity, respect, personal beliefs and preferences
K21	how to work in ways that achieve positive outcomes for individuals
K22	how to manage resources to deliver services that meet targets and
	achieve positive outcomes for individuals
K23	how to distinguish between outputs and outcomes
K24	how to work in partnership with individuals, key people and others
K25	how to identify and manage ethical conflicts and dilemmas in your
	work
K26	how to challenge and address poor practice
K27	how to address concerns and complaints
K28	how and when to seek support in situations beyond your experience
	and expertise
K29	the nature and impact of factors that may affect the health,
	wellbeing and development of individuals you care for or support
K30	theories underpinning our understanding of human development
	and factors that affect it

#### Personalisation and resources

# You need to know and understand:

- K31 how to critically evaluate evidence and knowledge based theories and models of good practice about empowerment and citizen directed services
- K32 how to identify and promote the potential of individuals to use their personal strengths and resources to achieve change
- K33 the value and role of family networks, communities and groups in achieving positive outcomes, and ways to develop them
- K34 the nature of **personalisation** and personalised services, including self directed support
- K35 the range of resources available within informal networks, within the wider community, through formal service provision and through innovation
- K36 how assistive technology can be used to support the independence of individuals
- K37 how to lead, manage and support others to plan, deliver and review personalised services with individuals

#### **Continuing professional development**

You need to know and understand:

K38 principles of reflective practice and why it is important

You need to know and

You need to know and

You need to know and

understand:

understand:

understand:

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K39	your role in developing the professional knowledge and practice of
K40	others how to promote evidence based practice
K40 K41	methods of managing performance to meet targets and achieve
11.41	positive outcomes
K42	how to assess performance
K43	how to provide constructive feedback to others on their practice and performance
K44	how to address performance that does not meet required standards
K45	how to use supervision to support the practice and performance of others
K46	how to use appraisal to support the practice and performance of others
K47	systems, procedures and practices for managing workloads
K48	methods for delegating work
Commun	nication
K49	factors that any offect communication and language skills and their
N49	factors that can affect communication and language skills and their development in children, young people or adults
K50	methods to promote effective communication and enable individuals
	to communicate their needs, views and preferences
K51	factors that can affect communication within and between
	organisations
K52	methods to promote effective communication within and between organisations
	10.64
Health ar	nd Safety
K53	legal and statutory requirements for health and safety
K54	your work setting policies and practices for monitoring and
	maintaining health, safety and security in the work environment
Safe-gua	rding
VEE	logislation and national policy relating to the cofe guarding and
K55	legislation and national policy relating to the safe-guarding and protection of children, young people and adults
K56	the responsibility that everyone has to raise concerns about
	possible harm or abuse, poor or discriminatory practices
K57	indicators of potential harm or abuse
K58	how and when to report any concerns about harm or abuse, poor or discriminatory practice, resources or operational difficulties

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K59	what to do if you have reported concerns but no action is taken to
	address them

- K60 local systems and multi-disciplinary procedures that relate to safeguarding and protection from harm or abuse
- K61 how to support others who have expressed concerns about harm or abuse

### Multi-disciplinary working

You need to know and understand:

- K62 the purpose of working with other professionals and agencies
- K63 the remit and responsibilities of other professionals and agencies involved in multi-disciplinary work
- K64 features of multi-disciplinary and interagency communication
- K65 how different philosophies, principles, priorities and codes of practice can affect partnership working

### **Handling information**

You need to know and understand:

- K66 legal requirements, policies and procedures for the security and confidentiality of information
- K67 legal and work setting requirements for recording information and producing reports within timescales
- K68 principles of confidentiality and when to pass on otherwise confidential information
- K69 how to support the effective sharing of information to achieve positive outcomes for individuals
- K70 how to record written information with accuracy, clarity, relevance and an appropriate level of detail
- K71 how to use evidence, fact and knowledge-based opinion to support professional judgements in records and reports
- K72 how and where electronic communications can and should be used for communicating, recording and reporting

# Leading and managing practice

You need to know and understand:

- K73 how to **critically analyse** theories about **leadership** and **management**
- K74 standards of practice, service standards and guidance relating to the work setting
- K75 national and local initiatives to promote the well-being of individuals
- K76 models of practice for the use of early interventions
- K77 lessons learned from government reports, research and inquiries

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	into serious failures of health or social care practice and from
	successful interventions
K78	methods of supporting others to work with and support individuals,
	key people and others
K79	how to lead and manage practice that achieves positive outcomes
	for individuals
K80	methods of supporting others to recognise and take informed action
	against discrimination
K81	how to develop systems, practices, policies and procedures
K82	how to implement, monitor and evaluate systems, practices, policies
	and procedures
K83	how to promote the services and facilities of your work- setting
K84	techniques for problem solving and innovative thinking
K85	how to motivate others
K86	how to critically evaluate evidence and knowledge based theories
. 100	and models of good practice about change management
K87	how to use change management techniques
1.07	now to use ondinge management techniques

### **Risk management**

You need to know and	
understand:	

K88 how to critically evaluate principles and frameworks of risk assessment and risk management

K89 principles of positive risk-taking

K90 how to lead others to develop practice that supports positive risktaking

#### Managing people

K94

### You need to know and understand:

K91 legal and work-setting requirements for employment practices K92 internal and external governance arrangements for the work-setting K93 factors that can lead to pressures on the service, individual and

team performance how to manage time, resources and workload of self and others

K95 how to manage team dynamics

K96 how to create a culture that promotes openness, creativity and problem solving

K97 how to create a culture that supports people to embrace change

### Specific to this NOS

### You need to know and understand:

K98 how to critically evaluate leadership and management methods, principles and approaches relevant to supporting the involvement of

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#### key people

- K99 how to critically evaluate literature, research, theories and models about outcome based practice
- K100 theories and evidence based practice related to the impact of relationships on the achievement of positive outcomes for individuals and their well being
- K101 factors that can support or undermine people's capacity and involvement as parents or carers
- K102 the impact that the service provision's location, physical structure, environment, relationship to the community, other services and agencies has on the involvement of key people
- K103 the impact of economic factors, social policy and social attitudes on the parenting and caring for family and friends
- K104 the impact of change on family membership and relationships
- K105 research on family patterns and their potential impact on people, including the range and diversity of social, family and community structures in the UK
- K106 theory, methods and approaches to effective communication and engagement with key people
- K107 theory, methods and approaches to individual, family, group behaviour and group dynamics

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#### **Additional Information**

Scope/range related to performance criteria

The details in this field are explanatory statements of scope and/or examples of possible contexts in which the NOS may apply; they are not to be regarded as range statements required for achievement of the NOS

A person's **background** is the individual's unique mix of personal experiences, history, culture, beliefs, preferences, family relationships, informal networks and community

**Critically analyse** is to examine something closely such as a policy, procedure, theory, complex situation, problem or an approach to practice – identifying the parts or issues that contribute to the whole product, situation or idea and determining how these different parts affect the quality of the whole product or how the individual issues affect the overall situation

Critical analysis involves a weighing-up of the factors concerned, for their contribution of strengths / weaknesses or advantages / disadvantages of a product or in a situation. Critical analysis is part of the process of understanding issues and developing original and creative responses

**Development opportunities** may include a blend of educational programmes, training activities, mentoring, coaching, shadowing, induction, supervision, guided reading, research, action learning sets, peer group discussions

The **individual** is the person you support or care for in your work

**Key people** are those who are important to an individual and who can make a difference to his or her well-being. Key people may include family, friends, carers and others with whom the individual has a supportive relationship

**Others** are workers that you manage, your colleagues and other professionals whose work contributes to the individual's well-being and who enable you to carry out your role

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Scope/range relating to knowledge and understanding

The details in this field are explanatory statements of scope and/or examples of possible contexts in which the NOS may apply; they are not to be regarded as range statement required for achievement of the NOS.

All knowledge statements must be applied in the context of this standard.

In relation to all knowledge statements you need to know and understand the specified areas of knowledge and be able to critically apply the knowledge and understanding in your leadership and management practice

**Critically analyse** is to examine something closely such as a policy, procedure, theory, complex situation, problem or an approach to practice – identifying the parts or issues that contribute to the whole product, situation or idea and determining how these different parts affect the quality of the whole product or how the individual issues affect the overall situation

Critical analysis involves a weighing-up of the factors concerned, for their contribution of strengths / weaknesses or advantages / disadvantages of a product or in a situation. Critical analysis is part of the process of understanding issues and developing original and creative responses

**Critically evaluate** is to weigh arguments for and against something, assessing all evidence, this could relate to factors such as models of care service delivery, policy development, theories, approaches to practice

Critical evaluation requires a weighing up and making judgements on factors such as currency, relevance, validity, outcomes, cost, sustainability, risk, and fitness-for-purpose of a product or a service against other products, services or ideas, using relevant criteria to frame the evaluation and inform decision-making

**Employment practices** should include recruitment, performance management, disciplinary procedures, grievance procedures

**Evidence based practice** uses systems, processes and 'practice wisdom' that has been proved to be effective in supporting the achievement of positive outcomes. Evidence may have been drawn from a variety of sources: research, both formal and informal, and the views and opinions of individuals, key people and those involved in the delivery of care services

Factors that may affect the health, wellbeing and development may include adverse circumstances or trauma before or during birth; autistic

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spectrum disorder; dementia; family circumstances; frailty; harm or abuse; injury; learning disability; medical conditions (chronic or acute); mental health; physical disability; physical ill health; poverty; profound or complex needs; sensory needs; social deprivation; substance misuse

**Key people** are those who are important to an individual and who can make a difference to his or her well-being. Key people may include family, friends, carers and others with whom the individual has a supportive relationship

**Leadership** is the ability to provide strategic direction and a sense of purpose. Effective leaders create a sense of trust, confidence and belief, inspiring people to adopt the values and behaviours they promote. They are innovative, creative and motivating

**Management** is the ability to set the operational direction and organise the effective running of the service provision to meet the overall service needs including ethical, legislative, regulatory and organisational requirements. Effective managers facilitate and organise resources in order to optimise the performance of others, allowing them to carry out tasks and achieve goals efficiently and effectively. They provide clarity and accountability that enable teams to meet their objectives

**Outcomes** are the changes or differences that individuals or care services are trying to achieve. Hard outcomes are changes that are clear and obvious, or those that involve a visible change in people's behaviour or circumstances. Soft outcomes are changes that are less easy to observe and measure, or those that involve more subtle changes inside people such as a change in someone's attitude, sense of well-being or how they see, or feel about, themselves

**Outputs** are the tangible products, services or facilities that are a result of organisational activities or the activities of those involved in the delivery of the service provision. Outputs may be used to achieve outcomes

**Personalisation** can be defined as 'changing the power balance so that each person really does have choice and control over the care services that they want. From being a recipient of services, individuals become involved in selecting and shaping the services they use'. Personalisation is a social care approach that encompasses citizen-directed support; self-directed support; the use of direct payments or personal budgets; the provision of accessible information and advice on care and support and the promotion of independence and self-reliance amongst individuals and communities

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Depending on how it is used the **power and influence** of leaders and managers may have either a positive or negative effect upon relationships

#### **Values**

Adherence to codes of practice or conduct where applicable to your role and the principles and values that underpin your work setting, including the rights of children, young people and adults. These include the rights:

To be treated as an individual

To be treated equally and not be discriminated against

To be respected

To have privacy

To be treated in a dignified way

To be protected from danger and harm

To be supported and cared for in a way that meets their needs, takes account of their choices and also protects them

To communicate using their preferred methods of communication and language

To access information about themselves

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